

## Exercise 19: Consecutive digits

Andreas Loibl

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# Collatz sequence

# Collatz Problem

The Collatz function is defined as

$$f(x) = \begin{cases} \frac{x}{2} & \text{if } x \text{ is even} \\ 3x + 1 & \text{if } x \text{ is odd} \end{cases}$$

The Collatz sequence uses this function recursively, until  $f(c_n) = 1$

$$c_{n+1} = f(c_n)$$

starting with  $c_0 = 13$  we generate the following sequence:

$$c_{0,1,2,\dots} = 13, 40, 20, 10, 5, 16, 8, 4, 2, 1$$

## in Pseudocode

**function** COLLATZ( $n$ )output  $n$ **if**  $n < 2$  **then**

return

**end if****if**  $n \bmod 2 = 0$  **then**COLLATZ( $\frac{n}{2}$ )**else**COLLATZ( $3n + 1$ )**end if****end function**

▷ termination condition

▷ even or odd

▷ recursion

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**else**

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## in Pseudocode

**function** COLLATZ( $n$ )

output  $n$

**if**  $n < 2$  **then**

**return**

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**if**  $n \bmod 2 = 0$  **then**

    COLLATZ( $\frac{n}{2}$ )

**else**

    COLLATZ( $3n + 1$ )

**end if**

**end function**

▷ termination condition

▷ even or odd

▷ recursion

## in R code

```
collatz <- function(n)
{
  print(n)
  if(n<2) return(FALSE)
  if(n%%2==0)
    collatz(n/2)
  else
    collatz(3*n+1)
}
```

## in R code (returning a vector)

```
collatz <- function(n)
{
  if(n<2) return(1)
  if(n%%2==0)
    return(c(n, collatz(n/2)))
  else
    return(c(n, collatz(3*n+1)))
}
```

# Proof of Collatz Problem

# Proof of Collatz Problem I

We prove the Collatz conjecture, by trying to complete an incomplete sequence, and eliminating out the contradictions. We also check and eliminate out the possibility of an infinite loop. In the end we show that every integer has a finite stopping time, which in other words solves the Collatz conjecture.

Consider the function

$$f(x) = \begin{cases} \frac{x}{2} & \text{if } x \text{ is even} \\ 3x + 1 & \text{if } x \text{ is odd} \end{cases}$$

The Collatz conjecture states that there exists an integer  $d(\geq 0)$  corresponding to  $x$  such that  $f^d(x) = 1$  for all natural numbers  $x$  where  $f^d(x) = f(f(f(\dots d \text{ times } \dots(f(f(f(x)))))))$

## Proof of Collatz Problem II

**Considering only odd integers:** Now if we consider only odd integers of the Collatz sequence, and modify the function as follows

$$T(x) = \frac{(3x + 1)}{2^k}$$

where  $k$  is the highest power of 2 that divides  $3x + 1$

*If we can prove that for all odd integers the stopping time corresponding to  $T(x)$  is finite, then the Collatz problem is proved.*

**Consider a given incomplete sequence**  $a_1, a_2, \dots, a_m$ , where  $a_k = T^k(a_1)$ , and  $a_1$  is the minimum among  $a_1, \dots, a_m$ . Then we shall have  $2^{S_m} = (3 + \frac{1}{a_1})(3 + \frac{1}{a_1}) \dots (3 + \frac{1}{a_1}) \frac{a_1}{a_{m+1}}$

But since  $a_1$  is minimum, hence, we shall,

$$2^{S_m} < (3 + \frac{1}{a_1})^m \frac{a_1}{a_{m+1}} \quad (1)$$

## Proof of Collatz Problem III

Now lets suppose

$$a_{m+1} < a_1 \quad (2)$$

$$\frac{3^m}{2^{S_m}} a_1 + \frac{c_m}{2^{S_m}} < a_1 \quad (3)$$

Now, for  $m > 3$ ,  $c_m > 3^m$ , hence we have

$$\frac{3^m}{2^{S_m}} a_1 + \frac{3^m}{2^{S_m}} < a_1 \quad (4)$$

$$\frac{3^m}{2^{S_m}} (a_1 + 1) < a_1 \quad (5)$$

$$3^m \left( \frac{1 + a_1}{a_1} \right) < 2^{S_m} \quad (6)$$

# Proof of Collatz Problem IV

From (1) and (6) we have

$$3^m \left( \frac{1 + a_1}{a_1} \right) < \left( 3 + \frac{1}{a_1} \right)^m \frac{a_1}{a_{m+1}} \quad (7)$$

From (7) we get after rearranging the terms

$$3^m (a_1^2 - a_1 a_{m+1} - a_{m+1}) + {}^m C_1 3^{m-1} a_1 + \dots + \frac{{}^m C_m}{a_1^{m-2}} > 0 \quad (8)$$

Here by (2) we have the equation

$$L.H.S > 3^m (-a_{m+1}) + {}^m C_1 3^{m-1} a_1 + \dots + \frac{{}^m C_m}{a_1^{m-2}} \quad (9)$$



# Proof of Collatz Problem V

Which reduces to the equation (10) if we assume (11) to be true

$$(ma_1 - 3a_{m+1}) + \frac{2^m - m - 1}{3^{m-1}a_1^{m-2}} > 0 \quad (10)$$

$$3^m(-a_{m+1}) + {}^m C_1 3^{m-1}a_1 + \dots + \frac{{}^m C_m}{a_1^{m-2}} > (ma_1 - 3a_{m+1}) + \frac{2^m - m - 1}{3^{m-1}a_1^{m-2}} > 0 \quad (11)$$

Now for  $m > 3$

$$\frac{2^m - m - 1}{3^{m-1}a_1^{m-2}} < 1 \quad (12)$$

Hence,

$$(ma_1 - 3a_{m+1}) > 0 \quad (13)$$

which is true since  $m > 3$  and  $a_1 > a_{m+1}$

## Proof of Collatz Problem VI

**Statement 1** Hence, we get from (1) and (2) and assumption (11), the equation (12) which is true. If (1), (2) or (11) was false, (12) would also have been false. Hence, (2) as well as (12) is true. So we can state that, for any arbitrary number  $a_1$  there exists  $m > 3$  such that  $a_{m+1} < a_1$ . Which, obviously, gives a hint that all integers have a finite stopping time.

**Now consider, if for all  $m \geq 1$**

$$a_{m+1} > a_1 \quad (14)$$

$$\frac{3^m}{2^{S_m}} a_1 + \frac{C_m}{2^{S_m}} > a_1 \quad (15)$$

But, for  $m \geq 1$

$$\frac{3^m}{2^{S_m}} a_1 + \frac{m4^{m-1}}{2^{S_m}} \geq \frac{3^m}{2^{S_m}} a_1 + \frac{C_m}{2^{S_m}}, \quad (16)$$

## Proof of Collatz Problem VII

Therefore,

$$\frac{3^m}{2^{S_m}} a_1 + \frac{m4^{m-1}}{2^{S_m}} > a_1 \quad (17)$$

Implies,

$$a_1 < \frac{m4^{m-1}}{2^{S_m} - 3^m} \quad (18)$$

Which in turn, implies,

$$a_1 < m4^{m-1} \quad (19)$$

Since  $2^{S_m} - 3^m \geq 1$  for all  $m \geq 1$

But here,  $a_1$  is arbitrarily chosen, and doesn't depend on the value of  $m$ . Hence, (19) does not hold true for all  $m$ . So, our assumption  $a_{m+1} > a_m$  for all  $m \geq 1$ , must be wrong

## Proof of Collatz Problem VIII

This gives us an indication that any infinite trajectory cannot have a minimum  $a_1$ . Which is clearly impossible. Hence, infinite trajectories do not exist. Considering the fact that infinite trajectories do not exist, we may say from **Statement 1** that  $m > 3$  has a finite value. All of the above sums up to the fact, that every number has a finite stopping time.  
q.e.d.

... just kidding 😊

This was just a load of crap, the Collatz Problem remains unproven.